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PTO/SB/05 (4/98)
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. UTILITY RCA 90,038 Attorney Docket No. PATENT APPLICATION First Inventor or Application Identifier Duggan SPHERICAL MOUNTING SYSTEMECYS TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. ASSEMBLY **APPLICATION ELEMENTS** Assistant Commissioner for Patents See MPEP chapter 600 concerning utility patent application contents. ADDRESS TO: **Box Patent Application** Washington DC 20231 * Fee Transmittal Form (e.g., PTO/SB/17) Х Microfiche Computer Program (Appendix) (Submit an original and a duplicate for fee processing) Х 6. Nucleotide and/or Amino Acid Sequence Submission 2. Specification [Total Pages (if applicable, all necessary) (preferred arrangement set forth below) - Descriptive title of the Invention a. Computer Readable Copy - Cross References to Related Applications b. Paper Copy (identical to computer copy) - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix Statement verifying identity of above copies C. - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 C.F.R.§3.73(b) Statement - Detailed Description Power of (when there is an assignee) Attomey - Claim(s) 9 English Translation Document (if applicable) - Abstract of the Disclosure Information Disclosure 3. x Drawing(s) (35 U.S.C. 113) Copies of iDS [Total Sheets 10. 4 x Statement (IDS)/PTO-1449 Citations 4. Oath or Declaration **Preliminary Amendment** [Total Pages 15 Return Receipt Postcard (MPEP 503) Newly executed (original or copy) a. x 12. (Should be specifically itemized) Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) Smail Entity Statement filed in prior application. 13 Statement(s) DELETION OF INVENTOR(S) Status still proper and desired (PTO/SB/09-12) Signed statement attached deleting Certified Copy of Priority Document(s) inventor(s) named in the prior application, (if foreign priority is claimed) see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). MOTE POSTITUES TATE IN ORDER TO BE ENTITLED TO PAY SHALL ENTITY FEER, A SMALL ENTITY STATEMENT IS REQUIRED (37 G.F.R. § 1.27), EXCEPT IF ONE PLED IN A PRIOR APPLICATION IS RELIED UPON (37 G.F.R. § 1.28). 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation **Divisional** Continuation-in-part (CIP) of prior application No: Prior application information: Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label or Correspondence address below (Insert Customer No. or Attach bar code label hers Joseph S. Tripoli Name Thomson Multimedia Licensing Inc. Patent Operation Address Two Independence Way, P. O. Box 5312 Princeton City State NJ Zio Code 08543-5312 USA Country Telephone 609/734-9744 Fax 609/734-9700 Name (PrintType) Joseph J. Kolodka Registration No. (Attorney/Agent) 39,731 6-21-00

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FEE TRANSMITTAL		Complete if Known	
		Application Number	
For FY 2000 Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Filing Date	
		First Named Inventor	Duggan
		Examiner Name	
TOTAL AMOUNT OF PAYMENT	(\$) 726	Group / Art Unit	
		Attorney Docket No.	RCA 90,038
METHOD OF PAYMENT	(check one)		1000

METHOD OF DAYMEN	Altomey bocker No. RCA 90,038	
METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)	
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 07-0832	3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	Fee Paid
Number 5. 552	105 130 205 65 Surcharge - late filing fee or oath	
Deposit Account Name THOMSON multimedia	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	
Licensing Inc. Charge Any Additional Fee Required	139 130 139 130 Non-English specification	
Under 37 CFR §§ 1.16 and 1.17	147 2,520 147 2,520 For filing a request for reexamination	
2. Payment Enclosed:	112 920° 112 920° Requesting publication of SIR prior to Examiner action	
Order Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
FEE CALCULATION	115 110 215 55 Extension for reply within first month	
1. BASIC FILING FEE	116 380 216 190 Extension for reply within second month	
Large Entity Small Entity Fee Fee Fee Fee Description	117 870 217 435 Extension for reply within third month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118 1,360 218 680 Extension for reply within fourth month	
101 690 201 345 Utility filing fee \$690	128 1,850 228 925 Extension for reply within fifth month	
106 310 206 155 Design filing fee	119 300 219 150 Notice of Appeal	
107 480 207 240 Plant filing fee	120 300 220 150 Filing a brief in support of an appeal	
108 690 208 345 Reissue filing fee	121 260 221 130 Request for oral hearing	
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)690	140 110 240 55 Petition to revive - unavoidable 141 1,210 241 605 Petition to revive - unintentional	
2. EXTRA CLAIM FEES	142 1,210 242 605 Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Paid	143 430 243 215 Design issue fee	
Total Claims 22 -20 = 2 x 18 = \$36	144 580 244 290 Plant issue fee	
ndependent 2 - 3** = 0 x	122 130 122 130 Petitions to the Commissioner	
or number previously paid, if greater, For Reissues, see below	123 50 123 50 Petitions related to provisional applications	
Large Entity Small Entity	126 240 126 240 Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	Recording each patent assignment per property (times number of properties)	
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 260 204 130 Multiple dependent claim, if not paid	149 690 249 345 For each additional invention to be	
109 78 209 39 ** Reissue independent claims	examined (37 CFR § 1.129(b)) Other fee (specify)	
110 18 210 9 ** Reissue claims in excess of 20	Other fee (specify)	
SUBTOTAL (2) (\$) 36	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	
SUBMITTED BY	Complete (if applicable)	=
Joseph J. Kolodka	Registration No. 39,731 Telephone 609-734-9	744
ignature Man A	Date 6-21-00	

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WARNING:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Scott Joseph Duggan and Darin Bradley Ritter

Filed

Herewith

For

SPHERICAL MOUNTING SYSTEM FOR THREE AXIS ADJUSTMENT OF A LIGHT PROJECTOR ASSEMBLY

IN A PROJECTION TELEVISION

CERTIFICATE OF MAILING BY EXPRESS MAIL PURSUANT TO 37 CFR 1.10

Express Mail Label Number EL479513314US Date of Deposit: June 21, 2000

I hereby certify that I am depositing this paper with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and this paper is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

> Joseph J Kolodka, Attorney Registration No. 39,731

LETTER OF TRANSMITTAL AND APPLICATION FOR GRANT OF LETTERS PATENT PURSUANT TO 37 CFR 1.53

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Application is hereby made this 21st day of June, 2000, in the name of and on behalf of Scott Joseph Duggan and Darin Bradley Ritter for grant of Letters Patent for the invention entitled SPHERICAL MOUNTING SYSTEM FOR THREE AXIS ADJUSTMENT OF A LIGHT PROJECTOR ASSEMBLY IN A PROJECTION TELEVISION which is claimed in the application attached hereto and identified with attorney docket number RCA 90,038.

Please charge the filing fee and any additional fee required or credit any overpayment to Deposit Account 07-0832. A copy of this letter is enclosed for this purpose.

Joseph J. Kolodka, Attorney

Reg. No. 39,731 PHONE: 609-734-9744

PLEASE ADDRESS ALL COMMUNICATIONS TO:

Joseph S. Tripoli **Patent Operation** Thomson Multimedia Licensing Inc. CN 5312

Princeton, NJ 08543-5312

JJK/kms